



CP 2171 #4 BT  
01-14-02

PATENT  
Attorney Docket No.: ABREAU-00107

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Robert O. Keith, Jr.

Serial No.: 09/800,566

Filed: March 6, 2001

For: **METHOD AND APPARATUS FOR  
ACCESSING DATA WITHIN AN  
ELECTRONIC SYSTEM BY AN  
EXTERNAL SYSTEM**

Group Art Unit: 2171

Examiner:

**TRANSMITTAL LETTER**

260 Sheridan Avenue, Suite 420  
Palo Alto, CA 94306  
(650) 833-0160

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JAN 09 2002

Technology Center 2100

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. An originally executed duplicate of this transmittal is enclosed for this purpose.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: November 21, 2001

By: Jonathan O. Owens  
Jonathan O. Owens  
Reg. No.: 37,902

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Assistant Commissioner for Patents, Washington D.C. 20231

HAVERSTOCK & OWENS LLP

Date: 11/21/01 By: Jonathan O. Owens



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) Examiner:

) **CERTIFICATION FOR**  
) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT UNDER 37**  
) **CFR § 1.97(e)**

) 260 Sheridan Avenue, Suite 420  
) Palo Alto, California 94301  
) (650)833-0160

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Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I hereby certify that the attached three (3) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on August 27, 2001. **Accordingly, the references contained in the supplemental information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement.** 37 C.F.R. 1.97(e)(1).

- 1 -

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HAVERSTOCK & OWENS LLP.

Date: 11/21/01 By: Narayan

**Identification of Person Making Certification**

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The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: November 21, 2001

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